

8-31-04

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EXPRESS MAIL LABEL NO.: EV 443087963 US

PATENT APPLICATION

Docket No.: 7678.791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

) Art Unit

) 3749

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-
 LIKE CONFIGURATION FOR DELIVERING AN
 ORAL MEDICAMENT AND METHODS OF
 MANUFACTURING SUCH COMPOSITIONS
 AND DEVICES

Examiner: Not yet known

Customer No.: 022913

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date of deposit: August 30, 2004.

- Information Disclosure Statement
- Form PTO-1449 Listing One (1) Reference
- Copies of the One (1) Listed Reference
- Transmittal Letter (2 copies)
- Postcard

Respectfully submitted,



John M. Gynn
 Attorney for Applicant(s)
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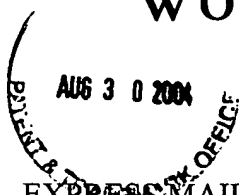


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PATENT APPLICATION
Docket No.: 7678.791

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| | Peter M. Allred and Neil T. Jessop |) |
| | |) |
| Serial No. | 10/646,484 |) Art Unit |
| | |) 3749 |
| Filed: | August 22, 2003 |) |
| | |) |
| For: | COMPOSITIONS AND DEVICES HAVING A TRAY- LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES |) |
| | |) |
| Examiner: | Not yet known |) |
| | |) |
| Customer No.: | 022913 |) |

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of one (1) reference submitted for consideration.
- X Legible copies of the listed references or their relevant portions.

Because this Information Disclosure Statement is being filed prior to the mailing of the first substantive office action, no fee is required.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 30th day of August 2004.

Respectfully submitted,



John M. Guynn
Attorney for Applicant
Registration No. 36,153
Customer No. 022913
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EXP
AUG 30 2004
OFFICE
In re a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Peter M. Allred and Neil T. Jessop

Serial No. 10/646,484

Filed: August 22, 2003

For: COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND METHODS OF MANUFACTURING SUCH COMPOSITIONS AND DEVICES

Examiner: Not yet known

Customer No.: 022913

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) Art Unit
) 3749
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 30th day of August 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John M. Guynn", written in a cursive style.

John M. Guynn
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(801) 533-9800

JMG:mla

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Applicant: Peter M. Allred, et al.

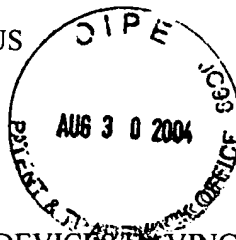
Serial No.: 10/646,484

Filing Date: August 22, 2003

Confirmation No.: 9447

For:

COMPOSITIONS AND DEVICES HAVING A TRAY-LIKE
CONFIGURATION FOR DELIVERING AN ORAL MEDICAMENT AND
METHODS OF MANUFACTURING SUCH COMPOSITIONS AND
DEVICES



Att'y Docket No.: 7678.791

Group: 3749

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

_____ 1 Technical Bulletin: Hydrogen Peroxide-Polyvinylpyrrolidone Polymer
Complexes, International Specialty Products, 1361 Alps Road, Wayne New
Jersey 07470, www.ispcorp.com (Dec. 2003)

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
